



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: U.S. Patent No.: 5,989,182  
Inventor: Koichiro Hori et al.  
Issued: 11/23/1999  
Application Serial No.: 08/994,537  
Filing Date: 12/19/1997  
Title: DEVICE-STEERING SHAFT ASSEMBLY AND  
ENDOSCOPE  
Attorney's Docket No.: OKTA-11

2012 MAY -3 PM 3:40

USPTO  
RECEIPTS & ACCOUNTING  
DIVISION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir or Madam:

**NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS UNDER**  
**37 CFR 1.27(g)(2)**  
**AND SUBMISSION OF DEFICIENCY PAYMENT UNDER 37 CFR 1.28 (c)**

Patentee notes that they are no longer entitled to small entity status.

Patentee requests under 37 CFR 1.28 (c) that an error be excused regarding  
Small Entity status in payment of the following fee for the above-identified patent.

As required under 37 CFR 1.28 (c) (1) and (c) (2), the deficiency payment is  
itemized as follows:

Fees Erroneously Paid as Small Entity	Current Fee	Fee Actually Paid	Date of Payment	Deficiency Amount Owed
Third maintenance fee	\$4,730.00	\$2,055.00	05/17/2011	\$2,675.00
Adjustment date: 07/23/2012 CKHLOK 05/04/2012 DALLEN 00000009 5989182 01 FC:1559				Total \$2,675.00 2675.00 OP

05/04/2012 DALLEN 00000009 5989182

01 FC:1559 07/23/2012 CKHLOK 00000013 5989182 OP

01 FC:1559

2675.00 OP

OKTA-11

Enclosed is a check in the amount of \$2,675.00 to cover the total deficiency amount owed.

In the event that any additional fees may be required in connection with this matter, please charge the same to Deposit Account No. 16-0221.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450, ON:

April 27, 2012

(DATE OF DEPOSIT)

Margaret M. Carley

(NAME OF ATTORNEY)



(SIGNATURE)

April 27, 2012

(DATE OF SIGNATURE)

Respectfully submitted,



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